SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* Edwards Richard J (Last) (First) (Middle) 102 WILLENBROCK ROAD ONE TRIBOLOGY CENTER			2. Issuer Name and Ticker or Trading Symbol <u>RBC Bearings INC</u> [ROLL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			3. Date of Earliest Transaction (Month/Day/Year) 11/14/2013	Director 10% Owner X Officer (give title below) Other (specify below) V.P. and General Manager				
(Street) OXFORD CT US 06478			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	rivative Securities Acquired, Disposed of, or Bene	eficially Owned				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date	2A. Deemed Execution Date,	3. Transa					5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)	instr.				Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		. ,	
Common Stock	11/14/2013		М		12,000	A	\$31.91	29,591 ⁽³⁾⁽⁵⁾⁽⁷⁾	D		
Common Stock	11/14/2013		М		12,000	A	\$22.73	41,591 ⁽³⁾⁽⁵⁾⁽⁷⁾	D		
Common Stock	11/14/2013		М		8,000	A	\$37.66	49,591 ⁽³⁾⁽⁵⁾⁽⁷⁾	D		
Common Stock	11/14/2013		S		32,000	D	\$66.0737	17,591 ⁽³⁾⁽⁵⁾⁽⁷⁾	D		

Common	Stock		11/.	2010	<u></u>			3	52,000		Ψ00.075	/ 17,55		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Stock	\$31.91	11/14/2013		М			12,000	02/12/2009 ⁽¹⁾	02/12/2015	Common Stock	12,000	\$31.91	0	D	
Option to Purchase Common Stock	\$22.73	11/14/2013		М			12,000	11/16/2010 ⁽²⁾	11/16/2016	Common Stock	20,000	\$22.73	8,000	D	
Option to Purchase Common Stock	\$37.66	11/14/2013		М			8,000	10/06/2012 ⁽⁴⁾	10/06/2018	Common Stock	20,000	\$37.66	12,000	D	
Option to Purchase Common	\$44.6							06/04/2013 ⁽⁶⁾	06/04/2019	Common Stock	20,000		20,000	D	

Explanation of Responses:

\$<mark>51.08</mark>

Stock Option to Purchase

Common Stock

1. Options to purchase shares of common stock are subject to the following vesting schedule: 1/5 vest on 2/12/09, 1/5 vest on 2/12/10, 1/5 vest on 2/12/11, 1/5 vest on 2/12/12 and 1/5 vest on 2/12/13.

2. Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 11/16/2010, 1/5 vest on 11/16/2011, 1/5 vest on 11/16/2012, 1/5 vest on 11/16/2013 and 1/5 vest on 11/16/2014

3. 3,000 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/3 lapse on 10/06/2014, 1/3 lapse on 10/06/2015 and 1/3 lapse on 10/06/2016. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

06/14/2014⁽⁸⁾

Commo

Stock

10,000

10,000

D

06/14/2020

4. Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 10/06/2012, 1/5 vest on 10/06/2013, 1/5 vest on 10/06/2014, 1/5 vest 10/06/2016. Options expire 7 years from grant date.

5. 3,000 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/2 lapse on 6/4/2014 and 1/2 lapse on 6/4/2015. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

6. Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 6/4/2013, 1/5 vest on 6/4/2014, 1/5 vest on 6/4/2015, 1/5 vest on 6/4/2016 and 1/5 vest on 6/4/2017. Options expire 7 years from grant date.

7. 1,000 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/3 vest on 6/14/2014, 1/3 vest on 6/14/2015, 1/3 vest on 6/14/2016. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its

subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

8. Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 6/14/2014, 1/5 vest on 6/14/2015, 1/5 vest on 6/14/2016, 1/5 vest on 6/14/2017 and 1/5 vest on 6/14/2018. Options expire 7 years from grant date.

Thomas J. Williams /attorney in <u>11/18/2013</u> fact/

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.